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	UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	4
Attorney Docket No.	042390.P12072	s. v
First Named Inventor	Anne E. Miller	002 J
Title: A SLURRY FOR I	POLISHING A BARRIER LAYER	-
Express Mail Label No	EI242710973US	

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** Washington, D. C. 20231

APPLICATION ELEMENTS								
See MPEP chapter 600 concerning utility patent application contents.								
1.	_X	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)						
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)						
3.	_X	Specification (Total Pages18_) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure						
4.	_X	Drawings(s) (35 USC 113) (Total Sheets 3						
5.	_X	Oath or Declaration (Total Pages <u>3</u>)						
		a. X Newly Executed (Original or Copy)						
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)						
		i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						
		c Unsigned.						
6.		Application Data Sheet. (37 CFR 1.76)						
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
8.	 a	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) Computer Readable Form (CRF)						
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or iipaper						
	c	Statement verifying identity of above copies						

ACCOMPANYING APPLICATION PARTS								
9. 10.	X							
		b. Power of Attorney						
11.		English Translation Document (if applicable)						
12.	X	a. Information Disclosure Statement (IDS)/PTO-1449						
	X	b. Copies of IDS Citations						
13.		Preliminary Amendment						
14.	X	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.		Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
17.		Other:						
18A.	If a COI	NTINUING APPLICATION, check appropriate box and supply the requisite information:						
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Of (whice	Prior App	ontinuation Divisional Continuation-in-part (CIP) blication No.: Examiner Group Art Unit continuation/ divisional/ CIP of prior application no, continuation/ divisional/ CIP of prior application no, (List entire chain of priority)						
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Appli	cant(s):	Also include a Preliminary Amendment to amend the specification to claim priority.						
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	FEE TRANSMITTAL FOR FY 2002									
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METHOD OF PAYMENT (check one)										
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106		330		206	165	Design application filing fee				
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102		84		202	42	Independent claims in excess of 3				
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FEE CALCULATION (continued) 3. **ADDITIONAL FEES** Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Fee Paid 105 130 205 Surcharge - late filing fee or oath 65 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2.520 147 2,520 For filing a request for ex-parte reexamination 099 099 Request for inter partes reexamination 8.800 8.800 920* Requesting publication of SIR prior to 112 920* 112 **Examiner action** 113 1,840* 113 1,840* Requesting publication of SIR after **Examiner action** 115 110 215 55 Extension for response within first month 116 400 216 200 Extension for response within second month 920 460 Extension for response within third month 117 217 118 1,440 218 720 Extension for response within fourth month 128 1,960 228 980 Extension for response within fifth month 119 320 219 160 **Notice of Appeal** 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1.510 138 1.510 Petition to institute a public use proceeding Petition to revive unavoidably abandoned application 140 110 240 55 or unavoidably delayed payment of issue fee Petition to revive unintentionally abandoned application 141 1,280 241 640 or unintentionally delayed payment of issue fee Utility issue fee (or reissue) 142 1,280 242 640 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 **Petitions to the Commissioner** 123 50 123 50 Petitions related to provisional applications 126 180 126 180 **Submission of Information Disclosure Stmt** 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 370 246 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 740 370 249 For each additional invention to be examined (see 37 CFR 1.129(b)) 179 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ 0.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Mark V. Seelev Signature: Date: November 30, 2001 Telephone Number: <u>408-765-1823</u> Reg. Number: 32,299